

01-31-00

A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

GI 5

Total Pages

23

First Named Inventor or Application Identifier

Zhang

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form

☒

(Submit an original, and a duplicate for fee processing)

2. Specification

[Total Pages 11]

☒

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 USC 113)

[Total Sheets]

☐

4. Oath or Declaration

[Total Pages 3]

☒

a. Unexecuted (copy)

☒

b. Copy from a prior application (37 CFR 1.63(d))

☐

(for continuation/divisional with Box 17 completed)
[Note Box 5 below]

I. DELETION OF INVENTOR(S)

☐

Signed statement attached deleting
inventor(s) named in the prior application,
See 37 CFR 1.63(d)(2) and 1.33(b).

5. Incorporation By Reference (useable if Box 4b is checked)

☐

The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
4b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

6. Microfiche Computer Program (Appendix)

☐

7. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)

a. Computer Readable Copy

☐

b. Paper Copy (identical to computer copy) (pp.)

☐

c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & documents(s))

☐

9. 37 CFR 3.73(b) Statement Power of Attorney

☐

(when there is an assignee)

10. English Translation Document (if applicable)

☐

11. Information Disclosure Copies of IDS

☐

Statement (IDS)/PTO-1449 Citations

12. Preliminary Amendment [Pages]

☐

13. Return Receipt Postcard (MPEP 503)

☒

(Should be specifically itemized)

14. Small Entity Statement filed in prior application,

☐

Statement(s) Status still proper and desired

15. Certified Copy of Priority Document(s)

☐

(if foreign priority is claimed)

16. Other: . . . Patent Application Data Entry Form Letter Under 37 CFR §1.63

☐

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation of prior application No.:

☐

01/28/00

jc688 U.S. PTO

05493545 " 012800

jc688 U.S. PTO
05493545

01/28/00

FEE TRANSMITTAL

Complete if known

Application Number	TBD
Filing Date	January 28, 2000
First Named Inventor	Zhang
Group Art Unit	TBD
Examiner Unit	TBD
Attorney Docket Number	GI 5340A

Total Amount of Payment (\$) 924.00

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

☒ **Deposit Account**
07-1060
 Number
 Deposit Account
Genetics Institute, Inc.
 Name
 Charge Any Additional Charge the Issue Fee Set in 37
☒ **Fee Required Under 37 CFR 1.16 and 1.17** **CFR 1.18 at the mailing of the Notice of Allowance, 37 CFR 1.311 (b)**

2. Payment Enclosed:

Check Money Other

FEE CALCULATION (fees effective 10/01/97)

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 690 220 345		Utility filing fee	\$690.00
106 330 206 165		Design filing fee	
107 540 207 270		Plant filing fee	
108 790 208 395		Reissue filing fee	
114 150 214 75		Provisional filing fee	
SUBTOTAL (1)			(\$ 690.00)

2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
14	-20 = 0	X 18.00	=
6	-3 = 3	X 78.00	= 234.00
Multiple Dependent Claims X =			

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description
103	18	203	11	Claims in excess of 20
102	78	202	41	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	82	209	41	Reissue independent claims over original patent
110	22	210	11	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$ 234.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for response within first month	
116 380 216 200		Extension for response within second month	
117 950 217 475		Extension for response within third month	
118 1,510 218 755		Extension for response within fourth month	
119 310 219 155		Notice of Appeal	
120 310 220 155		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive unavoidably abandoned application	
141 1,320 241 660		Petition to revive unintentionally abandoned application	
142 1,320 242 660		Utility issue fee (or reissue)	
143 450 243 225		Design issue fee	
144 670 244 335		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 790 246 395		Filing a submission after final rejection (37 CFR 1.129(a))	
149 790 249 395		For each additional invention to be examined (37 CFR 1.129 (b))	

Other fee (specify) _____
 Other fee (specify) _____

SUBTOTAL (3) (\$) 0.00

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name Barbara A. Gyure

Signature *Barbara A. Gyure*

Date January 28, 2000

Complete (if applicable)

Rg. Number 34,614

Deposit Account User ID 07-1060

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the correspondence marked below is being deposited with the U.S. Postal Service "Express Mail PO to Addressee" service under 37 CFR 1.10 on January 28, 2000 Express Mail label no. EM540250067US in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Typed or printed name Alison M. Higginbottom

Signature *Alison M. Higginbottom*

Date January 28, 2000